



MANAGED FUNDS
ASSOCIATION

Press Release

FOR IMMEDIATE RELEASE
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MFA HOSTS U.S. ATTORNEY PREET BHARARA, SEC DIRECTOR NORM CHAMP AT 3RD ANNUAL COMPLIANCE CONFERENCE; NEW GLOBAL HEDGE FUND REGULATIONS, COMPLIANCE STRATEGIES DISCUSSED

NEW YORK, November 7, 2013 – Managed Funds Association, the voice of the global hedge fund industry, today welcomed alternative investment industry thought-leaders, stakeholders, regulators, and service providers to the 3rd annual *Compliance* conference to discuss the implementation and effects of global regulatory reforms. Conference participants gained insights and clarity from regulators and government officials on new rules, best practices, regulatory proposals on the horizon, and strategies for effective and efficient compliance programs.

“Today’s event demonstrates the industry’s tremendous commitment to helping our members comply with all regulatory requirements,” said Stuart Kaswell, MFA General Counsel. “MFA supported the establishment of a sensible regulatory framework in the U.S. and abroad. This conference is a continuation of our efforts to help MFA members meet their regulatory responsibilities and to facilitate a dialogue with regulators. The outstanding attendance and engagement of industry leaders is a testament to the strong commitment to compliance prevalent throughout the alternative investment community.”

Featured presenters at *Compliance* included:

Preet Bharara, U.S. Attorney for the Southern District of New York

Norm Champ, Director, Division of Investment Management, SEC

Patricia Cushing, Director of Compliance, National Futures Association

Amelia A. Cottrell, Associate Regional Director of Enforcement, New York Regional Office, SEC

Richard Zabel, Deputy U.S. Attorney for the Southern District of New York

Richard Gabbert, Senior Special Counsel, Office of Derivatives Policy, Trading and Markets, SEC

Alistaire Bambach, Assistant Regional Director, New York Regional Office, SEC

Today’s 3rd annual conference is one of a series of educational events that MFA has conducted on the subjects of global regulatory and legal compliance. Members of MFA have been on the leading edge in planning for new regulatory changes as well as devising the most robust and intelligent compliance programs for their firms. Future programming will examine industry best practices and continue to engage regulators in constructive dialogue to ensure alternative investment managers maintain their leadership on this important issue.



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About Managed Funds Association

The Managed Funds Association (MFA) represents the global alternative investment industry and its investors by advocating for sound industry practices and public policies that foster efficient, transparent, and fair capital markets. MFA, based in Washington, DC, is an advocacy, education, and communications organization established to enable hedge fund and managed futures firms in the alternative investment industry to participate in public policy discourse, share best practices and learn from peers, and communicate the industry's contributions to the global economy. MFA members help pension plans, university endowments, charitable organizations, qualified individuals and other institutional investors to diversify their investments, manage risk, and generate attractive returns. MFA has cultivated a global membership and actively engages with regulators and policy makers in Asia, Europe, the Americas, Australia and all other regions where MFA members are market participants.

For more information, please visit: www.managedfunds.org.

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